COPY OF FARERS ORIGINALLY FILED Attorney Docket No. Serial No. JUN 0 3 2002 HOOV, 115 10/015,346 **Applicant** LIST OF PUBLICATIONS CITED BY APPLICATIONS Michael D. HOOVEN Filing Date Group December 12, 2001 3739 U.S. PATENT DOCUMENTS SUB-FILING *EXAMINER DOCUMENT CLASS CLASS INITIAL NUMBER DATE NAME DATE BY 12/28/71 128 350 08/08/69 3,630,207 Kahn et al 05/30/74 08/26/75 128 303 3,901,242 Storz 08/23/77 Morrison, Jr. 128 02/26/76 303 4,043,342 10/12/82 128 303 09/24/80 4,353,371 Cosman 4,492,231 01/08/85 128 09/17/82 Auth 303 4,590,934 128 05/18/83 05/27/86 Malis et al 303 128 07/28/86 11/17/87 303 4,706,667 Roos 01/21/87 03/22/88 128 303 Sutter 4,732,149 Weshahy 128 06/22/87 02/07/89 303 4,802,475 07/10/90 128 06/30/87 4,940,064 Desai 784 03/19/90 05/07/91 Parins et al 606 37 5,013,312 128 08/03/90 01/28/92 5,083,565 Parins 642 5,085,657 606 10/09/90 02/04/92 Ben-Simhon 42 02/11/92 06/18/90 5,087,243 Avitall 604 20 05/26/92 02/08/92 606 42 5,116,332 Lottick 09/15/92 09/23/88 606 23 5,147,355 Friedman 10/17/90 5,190,541 03/02/93 Abele et al 606 46 05/04/93 Nardella 606 11/01/91 5,207,691 142 FOREIGN PATENT DOCUMENTS SUB-FILING DOCUMENT CLASS CLASS NUMBER DATE NAME DATE EP 0 765 639 04/02/97 Ethicon Endo-Surgery Inc 09/27/96 10/22/97 WO 98/17187 04/30/98 Heartport, Inc. 5/28/98 Sports Link, Inc. WO 98/53750 12/03/98 OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages) English abstract re Japanese Patent Application No. JP 1996000275351, published April 28, 1997. DATE CONSIDERED: **EXAMINER:** lupy 11/18/02

Initial if citation considered, whether or not citation is in conformance *EXAMINER: with MPEP form. Draw line through citation if not in conformance and not considered.

Include a copy of this form with the next communication to applicant.

COPY OF PAPER ORIGINALLY FILE Attorney Docket No. Serial No. LIST OF PUBLICATIONS CITED BY APPLICATION JUH 0 3 5005 HOOV: 115 10/015,346 **Applicant** Michael D. HOOVEN Filing Date Group December 12, 2001 3739 U.S. PATENT DOCUMENTS *EXAMINER DOCUMENT SUB-FILING NUMBER INITIAL CLASS CLASS DATE DATE NAME RY 06/08/93 03/22/91 Knopfler 606 52 5,217,460 09/30/92 5,231,995 08/03/93 Desai 128 784 09/07/93 02/24/92 Avitall 606 5,242,441 41 10/21/91 10/05/93 Rydell 5,250,047 606 48 09/02/92 10/05/93 Badie 606 5,250,075 207 07/07/92 11/23/93 Avitall 607 5,263,493 122 10/24/91 12/14/93 Verrier 128 642 5,269,326 09/27/91 606 12/14/93 Roos 42 5,269,780 06/15/92 01/25/94 5,281,215 Milder 606 20 606 03/31/92 01/25/94 Klicek 5,281,216 42 Edwards et al 09/25/92 03/15/94 128 5,293,869 642 606 04/15/92 06/07/94 Lichtman 5,318,589 205 07/12/94 Avitall 128 772 12/11/92 5,327,905 606 07/07/92 10/11/94 Avitall 45 5,354,297 128 11/13/92 10/25/94 Nardella 642 5,357,956 607 05/07/93 116 5,397,339 03/14/95 Desai 07/22/93 04/04/95 Yates et al 606 5,403,312 50 606 20 01/24/94 06/13/95 Milder 5,423,807 FOREIGN PATENT DOCUMENTS DOCUMENT SUB-FILING CLASS CLASS DATE NAME DATE NUMBER 01/21/99 The Regents of the Univ. 07/08/98 WO 99/02096 of California 02/04/99 WO 99/04696 Cardiac CRC Nominees 07/24/98 Pty., Ltd. 09/04/98 WO 99/12487 03/18/99 Valleylab OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages) ~ DATE CONSIDERED: **EXAMINER:** 11/18 30 Initial if citation considered, whether or not citation is in conformance *EXAMINER:

with MPEP form. Draw line through citation if not in conformance considered.

Include a copy of this form with the next communication to applicant.

COPY OF PAPERS ORIGINALLY FILED 80,88 Serial No. Attorney Docket No. HOOV. 115 10/015,346 JUN 0 3 2002 CITED BY APPLICANT RADEMARK **Applicant** LIST OF PUBLICATIONS Michael D. HOOVEN Filing Date Group December 12, 2001 3739 U.S. PATENT DOCUMENTS SUB-FILING *EXAMINER **DOCUMENT** CLASS CLASS INITIAL NUMBER DATE NAME DATE BK 02/25/94 5,429,131 07/04/95 128 642 Scheinman et al 606 10/08/93 07/04/95 Shikhman et al 5,429,636 41 07/11/94 08/01/95 5,438,302 Goble 331 167 11/08/93 08/15/95 604 5,441,483 Avitall 95 606 08/16/93 08/22/95 Stern et al 51 5,443,463 Rydell et al 606 51 07/16/93 08/29/95 5,445,638 11/24/93 09/12/95 41 Rhum et al 606 5,449,355 07/26/94 606 42 09/19/95 Ben-Simhon 5,451,223 Avitall 128 642 12/03/93 10/03/95 5,454,370 128 11/22/93 642 11/14/95 Avitall 5,465,716 606 03/11/94 41 5,472,441 12/05/95 Edwards et al 05/27/94 604 12/26/95 4 5,478,309 Sweezer et al 05/10/94 01/02/96 Riza 606 205 5,480,409 12/03/93 128 01/30/96 642 5,487,385 Avitall 10/07/93 Klicek 03/05/96 606 34 5,496,312 11/04/94 607 03/19/96 Desai 116 5,500,011 12/01/94 07/02/96 606 Nardella et al 48 5,531,744 08/12/94 Edwards et al 606 07/16/96 5,536,267 41 128 642 01/30/95 09/17/96 Avitall 5,555,883 FOREIGN PATENT DOCUMENTS SUB-FILING DOCUMENT CLASS CLASS DATE NUMBER DATE NAME 04/28/99 WO 99/56486 11/04/99 Nokia Telecommunications OY 04/28/99 WO 99/56644 11/11/99 Cardiac Pacemakers, Inc. 04/28/99 Cardiac Pacemakers, Inc. WO 99/56648 11/11/99 OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages) DATE CONSIDERED: **EXAMINER:** Initial if citation considered, whether or not citation is in conformance *EXAMINER:

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP form. Draw line through citation if not in conformance and not considered.

Include a copy of this form with the next communication to applicant.

COPY OF PAPER HGINALLY FILED Serial No. HOOV: 115 10/015,346 **Applicant** LIST OF PUBLICATIONS Micha 1 D. HOOVEN CITED BY APPLICANTANO Filing Date Group December 12, 2001 3739 U.S. PATENT DOCUMENTS SUB-*EXAMINER DOCUMENT FILING CLASS CLASS DATE INITIAL NUMBER DATE NAME BK 10/08/96 05/10/95 Marchlinski et al 607 99 5,562,721 5,564,440 11/03/94 10/15/96 128 898 Swartz et al 08/08/94 12/10/96 5,582,609 Swanson et al 606 39 04/13/94 5,587,723 12/24/96 Otake et al 345 118 01/21/97 128 02/17/95 5,595,183 Swanson et al 697 04/03/95 606 5,599,350 02/04/97 Schulze et al 51 04/28/95 03/18/97 Lichtman 5,611,813 606 205 04/25/95 5,620,459 04/15/97 Lichtman 606 205 07/01/97 Avitall 128 08/19/96 772 5,642,736 03/04/94 370 08/05/97 Jusa et al 5,655,219 338 01/16/96 09/30/97 Gough et al 606 5,672,174 41 09/29/95 10/07/97 Fox et al 606 51 5,674,220 Gough et al 606 08/15/95 11/04/97 41 5,683,384 10/31/94 Branham et al 11/18/97 128 710 5,687,737 01/18/95 606 5,688,270 11/18/97 Yates et al 51 11/25/97 Swartz et al 604 53 11/14/94 5,690,611 51 04/12/95 12/02/97 Schulze et al 606 5,693,051 06/09/95 12/16/97 606 Taylor 34 5,697,925 FOREIGN PATENT DOCUMENTS FILING **DOCUMENT** SUB-CLASS CLASS DATE DATE NAME NUMBER 09/12/97 Cardiac Pathways Corp. 11/04/96 WO 97/32525 03/02/99 WO 99/44519 09/10/99 Atrionix, Inc. 05/20/99 WO 99/59486 11/25/99 New England Medical Ctr. 10/09/98 WO 00/21449 04/20/00 Levin, J.M. OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages) 🗟 DATE CONSIDERED: **EXAMINER:** Initial if citation considered, whether or not citation is in conformance with *EXAMINER: MPEP form. Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

COPY OF PAPER: ORIGINALLY FILED

	Attorney Docket No. Serial No. 10/015,346								
LIST OF PUBLICATIONS CITED BY APPLICATIONS Michael D. HOOVEN Filing Date Group									
Filing Date Group December 12, 2001 3739									
	U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE			
DAY -	5,697,928 5,702,359 5,702,390 5,702,438 5,709,680 5,718,703 5,722,403 5,725,512 5,728,143 5,730,127 5,730,704 5,733,280 5,735,849 5,735,849 5,735,849 5,755,664 5,755,717 5,759,158 5,782,827	12/16/97 12/30/97 12/30/97 12/30/97 01/20/98 02/17/98 03/03/98 03/10/98 03/17/98 03/24/98 03/24/98 03/24/98 03/31/98 04/07/98 04/07/98 04/07/98 04/07/98 04/21/98 05/26/98 05/26/98 06/02/98 07/21/98	Walcott et al Hofmann et al Austin et al Avitall Yates et al Chin McGee et al Swartz et al Gough et al Avitall Avitall Avitall Gough et al Baden et al Panescu et al Rubenstein Yates et al Swanson Gough et al	606 604 606 606 606 128 600 606 606 128 600 606 606 606	41 20 48 122 50 49 642 280 101 642 374 23 45 51 662 377 51 508 41	09/23/96 06/06/95 03/12/96 06/08/95 12/22/94 03/14/95 10/28/96 02/08/96 02/14/96 01/29/96 05/06/96 11/15/95 12/19/95 11/07/96 10/28/96 07/11/96 01/16/96 08/19/97 12/19/95			
		FORE	IGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE			
	WO 00/27310 (A2 & A3) WO 00/27311	05/18/00 05/18/00	St. Jude Medical Cardiovascular Group Inc St. Jude Medical Cardiovascular Group Inc	7	7	11/05/99 11/05/99			
	(Inclu	_	THER PUBLICATIONS , Title, Date, Pertinent Pa	ages)					
						YAN TE			
EXAMINER:	Leann		DATE CONS	SIDERED:	:/82	١			
*EXAMINER: Include a co	MPEP form. Dra	w lipe thro	ered, whether or not citation ugh citation if not in conformation to applicant.	ance and		PI -			

COPY OF PAF. **ORIGINALLY FILED** Serial No. Attorney Locket No. JUN 0 3 2002 LIST OF PUBLICATIONS 10/015,346 HOOV. 115 <u>Applicant</u> Michael D. HOOVEN CITED BY PPLICANT Filing Date Group December 12, 2001 3739 U.S. PATENT DOCUMENTS *EXAMINER DOCUMENT SUB-FILING INITIAL NUMBER CLASS DATE NAME CLASS DATE BL 07/21/98 5,782,828 Chen et al 606 12/11/96 42 Bednarek 07/28/98 5,785,706 606 41 11/18/96 08/04/98 606 51 09/29/95 H 1745 Paraschac 08/25/98 04/28/95 5,797,906 606 Rhum et al 48 5,797,960 08/25/98 Stevens et al 606 04/20/95 213 09/01/98 Gough et al 607 09/03/96 5,800,484 104 5,807,393 09/15/98 Williamson, IV et al 606 05/08/95 32 Mulier et al 04/22/97 09/15/98 606 5,807,395 41 09/22/98 Gough et al 606 03/15/96 5,810,804 41 Sutcu et al 5,810,805 09/22/98 606 45 02/09/96 09/22/98 Yates et al 606 50 04/04/97 5,810,811 10/06/98 Nardella et al 606 38 05/20/97 5,817,091 10/20/98 Kuck et al 600 11/20/95 5,823,955 374 05/07/96 10/20/98 600 374 5,823,956 Roth et al 128 05/07/96 11/03/98 898 5,829,447 Stevens et al 11/10/98 606 04/04/97 5,833,690 Yates et al 52 5,833,703 11/10/98 606 03/14/97 Manushakian 174 5,842,984 12/01/98 Avitall 600 374 11/12/97 FOREIGN PATENT DOCUMENTS DOCUMENT SUB-FILING NUMBER DATE NAME CLASS CLASS DATE WO 00/27312 05/18/00 St. Jude Medical 11/05/99 Cardiovascular Group Inc WO 00/27313 05/18/00 11/05/99 St. Jude Medical Cardiovascular Group Inc WO 00/42931 01/25/00 07/27/00 Cryocath Technologies OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages) DATE CONSIDERED: EXAMINER: Initial if citation considered, whether or not citation is in conformance *EXAMINER: with MPEP form. Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

·			PY OF PAPERS				
		TOTAL STATE OF THE PARTY OF THE	Attorney Docket No. HOOV: 115		al No. 15,346		
LIST OF PUBLICANT CITED BY APPLICANT Michael D. HOOVEN Filing Date December 12, 2001 3739							
	ILD DI AIIDI	PADEMAN	<u>Filing Date</u> December 12, 2001	<u>Grou</u> 3739	_		
		U.S	. PATENT DOCUMENTS				
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE	
AK,	5,843,075 5,843,122 5,846,238 5,849,011 5,849,020 5,853,411 5,855,614 5,860,975 5,863,290 5,863,291	12/01/98 12/01/98 12/08/98 12/15/98 12/15/98 12/15/98 12/29/98 01/05/99 01/19/99 01/26/99	Taylor Riza Jackson et al Jones et al Long et al Whayne et al Stevens et al Goble et al Schaer	606 606 606 606 606 623 606 606	34 207 41 47 167 41 11 45 41 41	02/06/97 09/30/97 04/08/96 06/18/96 06/30/97 04/08/96 05/07/96 12/15/95 12/19/95 04/08/96	
	5,868,737 5,871,483 5,873,896 5,876,400 5,876,401 5,891,135 5,891,136 5,893,863 5,899,898 5,899,899	02/09/99 02/16/99 02/23/99 03/02/99 03/02/99 04/06/99 04/06/99 04/13/99 05/04/99	Taylor et al Jackson et al Ideker Songer Schulze et al Jackson et al McGee et al Yoon Arless et al Arless et al	606 607 606 606 606 606 606 606	34 41 14 45 51 41 41 170 22 22	05/06/97 04/08/96 05/27/97 01/13/97 04/14/97 04/08/96 04/08/96 05/01/97 02/27/97 07/11/97	
		FORE	IGN PATENT DOCUMENTS	_			
	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE	
	WO 00/42932 WO 00/42933 WO 00/42934	07/27/00 07/27/00 07/27/00	Cryocath Technologies Cryocath Technologies Daig Corporation	7	7	01/25/99 01/25/99 01/07/00	
	(Includ		THER PUBLICATIONS , Title, Date, Pertinent P	ages)	ž Š	, rection,	
					₹ C.		
EXAMINER:	Kean		DATE CON	, ,	2002 2002	Ü	
*EXAMINER:		3	sidered, whether or not cit ne through citation if not			31	
Include a c	copy of this for	mwith the	next communication to app.	licant.	<u></u>		

· .	OIPE		·				
	JUN 0 3 20013 8	JUN 0 3 200	Astorney Docket No. HeDV 115		al No. 15,346		
LIST	POF PUBLICAN	ME TO A OCCUPANT	Modicant Wichael D. HOOVEN				
	COPY OF PA	PERS	<u>Filing Date</u> December 12, 2001	<u>Grou</u> 3739			
		U.S	. PATENT DOCUMENTS	.	· · · · · · · · · · · · · · · · · · ·		
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE	
A.	5,902,289 5,910,129 5,913,855 5,921,924 5,925,038 5,925,042 5,924,424 5,928,229 5,931,836 5,935,126 5,938,660 5,941,251 5,941,845 5,941,845 5,941,718 5,947,938 5,951,552 5,951,552 5,951,547 5,954,665 5,961,514 5,967,976 5,971,983 5,972,026	05/11/99 06/08/99 06/22/99 07/13/99 07/20/99 07/20/99 07/20/99 07/27/99 08/03/99 08/10/99 08/17/99 08/24/99 08/24/99 08/31/99 09/07/99 09/14/99 09/14/99 10/05/99 10/19/99 10/26/99	Swartz et al Koblish et al Gough et al Avitall Panescu et al Gough et al Stevens et al Gough et al Hatta et al Riza Swartz et al Panescu et al Tu et al Austin et al Swartz et al Long et al Ben-Haim Long et al Larsen Lesh Laufer et al	604 606 606 606 606 606 606 604 606 606	281 95 41 374 41 898 41 38 51 45 899 53 48 280 46 41 515 41 374 41 96	10/14/97 10/30/97 11/03/97 11/12/97 04/08/96 11/03/97 10/14/97 10/30/97 07/21/97 09/30/97 06/27/97 11/08/96 08/05/97 01/10/97 06/30/97 11/03/97 10/23/97 10/23/97 11/08/96 05/09/97 01/07/98	
	DOCUMENT			GT 1 GG	SUB-	FILING	
	NUMBER	DATE	NAME	CLASS	CLASS	DATE	
OTHER PUBLICATIONS (Including Author, Title, Date, Pertinent Pages)							
EXAMINER:	Kean		DATE CON	SIDERED	002		
*EXAMINER:		•	sidered, whether or not cita ne through citation if not			41	
Include a c		m with the	next communication to appl	licant.			

		IPA							
Attorney Docket No. Serial No. 10/015,346									
LIST OF PUBLICATIONS CITED BY APPLICATIONS Michael D. HOOVEN Filing Date Group									
CUPY OF PAPERS I December 12, 2001 1 3/39									
		U.S	. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	, NAME	CLASS	SUB- CLASS	FILING DATE			
A7	5,980,516 5,980,517 5,984,281 5,997,533 6,010,516 6,010,531 6,012,457 6,013,074 6,016,809 6,017,358 6,023,638 6,024,740 6,024,741 6,030,403 6,036,670 6,039,731 6,039,733 6,039,733 6,039,748 6,047,218 6,047,218 6,048,329 6,110,098	11/09/99 11/09/99 11/16/99 12/07/99 01/04/00 01/04/00 01/11/00 01/11/00 01/25/00 01/25/00 02/15/00 02/15/00 02/15/00 02/15/00 03/14/00 03/21/00 03/21/00 04/04/00 04/11/00 08/29/00	Mulier et al Gough Hacker et al Kuhns Hulka Donlon et al Lesh Taylor Mulier et al Yoon et al Swanson Lesh et al Williamson, IV et al Long et al Wijeratne et al Taylor et al Buyssee et al Savage et al Whayne et al Renirie et al	606 606 261 606 606 623 128 606 128 606 606 606 606 606 606 606 606 606 60	41 41 71 41 148 2 898 34 898 205 510 34 40 185 96 34 40 180 122 95 16	03/09/98 03/25/98 12/08/97 01/30/98 03/20/98 01/31/96 07/08/97 12/15/97 10/09/97 05/01/97 05/22/98 07/08/97 03/05/97 03/18/99 12/23/97 10/29/98 06/25/98 08/05/97 04/23/99 10/30/97 12/18/96			
,		FORE	IGN PATENT DOCUMENTS	T	_				
	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE			
	(Includ		THER PUBLICATIONS To Title, Date, Pertinent Partinent Partine	ages)	<u> </u>				
					~				
EXAMINER:	Koculu		DATE CON	SIDERED	ر کیا	77 ED 2002			
*EXAMINER:	with MPEP for considered.	n.) Draw li	sidered, whether or not cita ne through citation if not	in confe	·				
Include a c	copy of this foi	m with the	next communication to appl	licant.					

	1	JUM	U
se type a plus sign (+) inside this boy	10		

PTO/SB/08A (08-00) Approved for use Inrough 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to rest sod to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of 10 12

C	omplete if Known)
Application Number	10/015,346	
Filing Date	December 12, 2001	
First Named Inventor	Michael D. Hooven	
Group Art Unit	3739	
Examiner Name		
Attorney Docket Number	HOOV 115	

				U.S. PATENT DOCU	JMENTS	
xaminer nitials	Cite No.1	U.S. Patent E	Cocument Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
334	1	1,127,948		R. H. Wappler	02/09/1915	
	2	5,009,661		Michelson	04/23/1991	
	3	5,044,947		Sachdeva et al.	09/03/1991	
	4	5,242,458		Bendel et al.	09/07/1993	
	5	5,254,130		Johnson	04/26/1994	
	6	5,452,733		Sterman et al.	09/26/1995	
	7	5,571,215		Sterman et al.	11/05/1996	
	8	5,575,766		Swartz et al.	11/19/1996	
	9	5,587,723		Otake et al.	12/24/1996	
	10	5,814,028		Swartz et al.	09/29/1998	
	11	6,161,543		Cox et al.	12/19/2000	
	12	6,237,605	<u>-</u>	Vaska et al.	05/29/2001	
	13	6,311,692		Vaska et al.	11/06/2001	
	14	6,314,962		Vaska et al.	11/13/2001	
	15	6,314,963		Vaska et al.	11/13/2001	
	16	5,306,234		Johnson	04/26/1994	
	17	5,776,130		Buvsse et al.	07/07/1998	
	18	5,562,699		Heimberger et al.	10/08/1996	

					FOR	EIGN PATENT DOCUMENT	rs		· ·
Exan Initia		Cite No.1	Office	Foreign Patent Docu	Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T _e
B	4	19	EP	0 450 608	A1	Richard Wolf GmbH	10/09/1991		
7	γ	20	PCT	WO 93/25267	A1	Mir A. Imran	12/23/1993	***************************************	
		21	PCT	WO 97/10764	A1	Valleylab, Inc.	03/27/1997		
		22	PCT	WO 92/05828	A1	Raychem Corporation	04/16/1992	·	
	1	23	DE	43 13 903	C1	Olympus Winter & Ibe GmbH	09/15/1994	,	
				•				6	
					<u> </u>			N 1	

Examiner Date Considered					· · · · · · · · · · · · · · · · · · ·	***
Signature /)e() \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	Examiner Signature	Becan	P	Considered I	1,51	AD.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box ->

Sheet

COPY OF PAPERS ORIGINAL ILED JUN 0 3 2002

Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Please type a plus sign (+) inside this box

Approved for use through 10/31/2002. OMB 0651-0031

Under the Paperwork Reduction Act of 1995, no persons acceptained to response a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

> (use as many sheets as necessary) of

11

12

Complete if Known 10/015,346 Application Number December 12, 2001 Filing Date Michael D. Hooven **First Named Inventor** Group Art Unit 3739 Examiner Name Attorney Docket Number HOOV 115

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
BK	24	YOSHIO KOSAKAI, M.D., ET AL., "Cox Maze Procedure for Chronic Atrial Fibrillation Associated with Mitral Valve Disease," THE JOURNAL OF THORACIC AND CARDIOVASCULAR SURGERY, 1994; Vol. 108, No. 6, pp. 1049-1055.	
1	25	Ki-Bong Kim, M.D., ET AL., Abstract "The Cox-Maze III Procedure for Atrial Fibrillation Associated with Rheumatic Mitral Valve Disease," THE ANNALS OF THORACIC SURGERY, 2000; pp. 1-5.	
	26	HIROSHI NAKAGAWA, ET AL., Abstract, "Creation of Long Linear Transmural Radiofrequencey Lesions in Atrium Using a Novel Spiral Ribbon - Saline Irrigated Electrode Catheter," JOURNAL OF AMERICAN COLLEGE OF CARDIOLOGY, February, 1996.	
	27	TAIJIRO SUEDA, ET AL., "Efficacy of a Simple Left Atrial Procudure for Chronic Atrial Procedure for Chronic Atrial Fibrillation in Mitral Valve Operations," THE ANNALS OF THORACIC SURGERY, 1997, Vol. 63, pp. 1070-1073.	
	28	Re: DR. ADAM E. SALTMAN, New Program in Surgical Electrophysiology Established," Interet Website of Departmental News, Dept. of Surgery, University Hospital & Medical Center, Stony Brook State University of New York (www.informatics.synysb.edu/surgery/electro-news.html); 2000, pp. 1-2.	
	29	MIEN-CHENG CHEN, M.D., ET AL., "Radiofrequency and Cryoablation of Atrial Fibrillation in Patients Undergoing Valvular Operations," ANALS OF THORACIC SURGERY, 1998:65:1666-1672.	
	30	ARIF ELVAN, M.D., ET AL., Abstract, "Radiofrequency Catheter Ablation of the Atria Reduces Inducibility and Duration of Atrial Fibrillation in Dogs," CIRCULATION, 1995:91:2235-2244.	
	31	WARREN M. JACKMAN, M.D., ET AL, "Radiofrequency Current Directed Across the Mitral Anulus With a Bipolar Epicardial-Endocardial Catheter Electrode Configuration in Dogs," CIRCULATION, 1988; Vol. 78, No. 5, pp. 1288-1297.	
	32	James L. Cox, M.D., Ed., "Seminars in Thoracic and Cardiovascular Surgery: The Maze Procedure for Atrial Fibrillation," 2000, Vol. 12, No. 1.	
	33	LAURAN NEERGAARD, "Slicing a Heart to Make It Beat," Article from THE ASSOCIATED PRESS, March 26, 1998 Website (www.nando.com/newsroom/ntn/health/032698/health24_22737_body.html).	
			+

Date Examiner Considered Signature

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box ->



PTO/SB/08B (08-00)

Please type a plus sign (+) inside this box

Approved for use through 10/31/2002. OMB 0651-0031

Approved for use through 10/31/2002. OMB 0651-0031

B. Patent and Trademark-Offices U.S. DEPARTMENT OF COMMERCE Collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of 12 12

Co	mplete if Known	1
Application Number	10/015,346	
Filing Date	December 12, 2001	
First Named Inventor	Michael D. Hooven	
Group Art Unit	3739	
Examine: Jame		
Attorney Docket Number	HOOV 115	

	,	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
	34	YOSHITO INOUE, ET AL., "Video Assisted Thoracoscopic and Cardioscopic Radiofrequency Maze Ablation,"	
别为		ASAIO JOURNAL, 1997, pp. 334-337.	
ĺ	35	YOSHITO INOUE, ET AL., Abstract, "Video Assisted Thoracoscopic and Cardioscopic Radiofrequency Maze Ablation," ASAIO JOURNAL, 1997.	
	36	MARY O. PALAZZO, RN, MS, CCRN, "What You Need To Know - a-fib 101," from the ATRIAL FIBRILLATION PAGE Website (www.members.aol.com/mazern/afib101.htm) June 5, 2000.	
	37	MARY O. PALAZZO, RN, MS, CCRN, "What You Need To Know - maze FAQ," from the ATRIAL FIBRILLATION PAGE Website (www.members.aol.com/mazern/mazefaq.htm) November 25, 1999.	
	38	MARY O. PALAZZO, RN, MS, CCRN, "What You Need To Know - maze FAQ," from the ATRIAL FIBRILLATION PAGE Website (www.members.aol.com/mazern/mazefaq.htm) June 21, 2000.	
	39	STUART P. THOMAS, ET AL., "Mechanism, Localization and Cure of Atrial Arrhythmias Occurring After a New Intraoperative Endocardial Radiofrequency Ablation Procedure for Atrial Fibrillation," JOURNAL OF THE AMERICAN COLLEGE OF CARDIOLOGY, 2000, Vol. 35, No. 2, pp. 442-450.	
	40	IVAN M. ROBBINS, M.D., ET AL., "Pulmonary Vein Stenosis After Catheter Ablation of Atrial Fibrillation," CIRCULATION, 1998; 98:1769-1775.	
	41	AKIRA t. KAWAGUCHI, ET AL., "Factors Affecting Rhythm After the Maze Procedure for Atrial Fibrillation," CIRCULATION, 1998; Vol. 78, No. 5, pp. 1288-1296.	
	42	TAIJIRO SUEDA, ET AL., "Simple Left Atrial Procedure for Chronic Atrial Fibrillation Associated with Mitral Valve Disease," THE ANNALS OF THORACIC SURGERY, 1996;62:1796-1800.	
•			
		6 20 20 20 20 20 20 20 20 20 20 20 20 20	
		200 2	

Examiner Signature	Lound	Date Considered	120 11	3

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.